

Attorney's Docket No. 003386.P010



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of:

Jonathan M. Goldberg, et al.

Application No.: 09/754,385

Filed: January 8, 2001

For:
KNOWLEDGE NEIGHBORHOODS

Examiner: Not Yet Assigned

Art Unit: Not Yet Assigned

RE ED

Assistant Commissioner for Patents
Washington, D.C. 20231

Technology Center 2100

INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the documents cited on that form. It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, D. C. 20231 on March 7, 2001.

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Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

X 37 C.F.R. §1.97(b).

 37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following:

 A statement pursuant to 37 C.F.R. §1.97(e) or

 A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).

 37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following:

(1) A statement pursuant to 37 C.F.R. §1.97(e); and

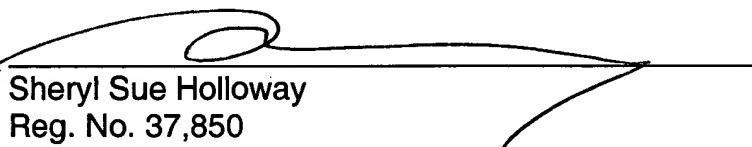
(2) A check for \$180.00 for the fee under 37 C.F.R. §1.17(p) for submission of the Information Disclosure Statement.

If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: MAR. 1, 2001


Sheryl Sue Holloway
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| Form PTO-1449 (Modified) | Atty Docket No.: 003386.P010 | Serial No.: 09/754,385 |
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List of Patents and Publications Statement
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Page 1 of 8

Applicant:
Jonathan M. Goldberg, et al.
Filing Date: January 8, 2001

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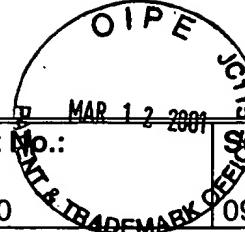
| REFERENCE DESIGNATION | | U.S. PATENT DOCUMENTS | | | |
|-----------------------|--------------|-----------------------|-------|-----------|----------------------------|
| Examiner Initials | Document No. | | Class | Sub-Class | Filing date if appropriate |
| AA | 4,914,586 | Swinehart et al. | 364 | 200 | 11/06/1987 |
| AB | 4,970,681 | Bennett | 364 | 900 | 10/20/1987 |
| AC | 5,051,891 | MacPhail | 364 | 200 | 12/23/1987 |
| AD | 5,247,575 | Sprague, et al. | 380 | 9 | 04/24/1992 |
| AE | 5,247,661 | Hager, et al. | 395 | 600 | 09/10/1990 |
| AF | 5,251,131 | Masand, et al. | 364 | 419.08 | 07/31/1991 |
| AG | 5,251,159 | Rowson | 364 | 578 | 03/20/1991 |
| AH | 5,276,869 | Forrest, et al. | 395 | 600 | 09/10/1990 |
| AI | 5,297,057 | Kramer | 364 | 512 | 11/13/1991 |
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| AL | 5,428,740 | Wood et al. | 395 | 161 | 10/27/1994 |
| AM | 5,438,526 | Itoh, et al. | 364 | 578 | 07/07/1992 |
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| AO | 5,481,741 | McKaskle, et al. | 395 | 800 | 09/22/1993 |
| AP | 5,488,725 | Turtle, et al. | 395 | 600 | 03/30/1993 |
| AQ | 5,493,729 | Nigawara, et al. | 395 | 61 | 07/20/1994 |
| AR | 5,513,126 | Harkins, et al. | 364 | 514A | 10/04/1993 |
| AS | 5,530,852 | Meske, Jr. et al. | 395 | 600 | 12/20/1994 |
| AT | 5,541,836 | Church, et al. | 364 | 419.07 | 12/30/1991 |
| AU | 5,544,067 | Rostoker, et al. | 364 | 489 | 06/14/1993 |
| AV | 5,555,426 | Johnson, et al. | 395 | 200 | 02/23/1995 |
| AW | 5,778,364 | Nelson | 707 | 6 | 01/02/1996 |
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| AY | 5,608,900 | Dockter, et al. | 395 | 613 | 06/21/1994 |
| AZ | 5,628,011 | Ahmed, et al. | 395 | 610 | 01/04/1993 |
| BA | 5,659,731 | Gustafson | 395 | 604 | 06/19/1995 |
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| BC | 5,692,107 | Simoudis, et al. | 395 | 50 | 09/20/1996 |
| BD | 5,704,017 | Heckerman, et al. | 395 | 61 | 02/16/1996 |

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| Form PTO-1449 (Modified) | | Atty Docket No.: 12 2001 003386.P010 | Serial No.: 09/754,385 | | | |
|--|----|---|---------------------------|-----------|----------------------------|------------|
| List of Patents and Publications Statement (Use several sheets if necessary) Page 2 of 8 | | RECEIVED TRADEMARK OFFICE U.S. PATENT AND TRADEMARK OFFICE Technology Center 2100 MARCH 15 2001 | | | | |
| REFERENCE DESIGNATION | | U.S. PATENT DOCUMENTS | | | | |
| Examiner Initials | | Document No. | Class | Sub-Class | Filing date if appropriate | |
| | BE | 5,717,914 | Husick, et al. | 395 | 605 | 09/15/1995 |
| | BF | 5,717,923 | Dedrick | 395 | 613 | 11/03/1994 |
| | BG | 5,720,001 | Nguyen | 395 | 10 | 05/08/1995 |
| | BH | 5,724,567 | Rose et al. | 395 | 602 | 04/25/1994 |
| | BI | 5,727,129 | Barrett, et al. | 395 | 12 | 06/04/1996 |
| | BJ | 5,754,938 | Herz, et al. | 455 | 4.2 | 10/31/1995 |
| | BK | 5,794,210 | Goldhaber, et al. | 705 | 14 | 12/11/1995 |
| | BL | 5,802,518 | Karaev, et al. | 707 | 9 | 06/04/1996 |
| | BM | 5,812,434 | Nagase, et al. | 364 | 578 | 06/12/1996 |
| | BN | 5,835,087 | Herz, et al. | 345 | 327 | 10/31/1995 |
| | BO | 5,855,008 | Goldhaber, et al. | 705 | 14 | 08/28/1997 |
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| | BQ | 5,892,909 | Grasso, et al. | 395 | 200.31 | 01/31/1997 |
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| | BS | 5,931,907 | Davies, et al. | 709 | 218 | 01/23/1996 |
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| | BV | 5,995,597 | Woltz, et al. | 379 | 93.24 | 01/21/1997 |
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| | BX | 5,999,975 | Kittaka, et al. | 709 | 224 | 03/05/1998 |
| | BY | 6,006,200 | Boies, et al. | 705 | 26 | 05/22/1998 |
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| | CE | 6,052,122 | Sutcliffe, et al. | 345 | 331 | 06/13/1997 |
| | CF | 6,052,709 | Paul | 709 | 202 | 12/23/1997 |
| | CG | 6,052,714 | Miike, et al. | 709 | 217 | 12/13/1996 |
| | CH | 5,924,090 | Krellenstein | 707 | 5 | 05/01/1997 |
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| EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | | | | | | |

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| Page 3 of 8 | | Filing Date: January 8, 2001 |



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| CI | WO 99/39279 | 05/08/1999 | PCT | G06F | 17/30 | No |
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OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

| | |
|----|---|
| CJ | Leonard N. Foner, "A Multi-Agent Referral System for Matchmaking", MIT Media Lab |
| CK | Welcome to enonymous.com, Web page, "Be Privacy Aware ... Be enonymous!", http://www.enonymous.com/default.asp . |
| CL | "Clustering and Information Sharing in an Ecology of Cooperating Agents", Leonard N. Foner, <u>AAAI Workshop on Information Gathering in Distributed, Heterogenous Environments '95</u> . |
| CM | Anonymous Web Page, "Frequently Asked Questions", http://www.enonymous.com/faq.asp . |
| CN | Anonymous Web Page, "How enonymous advisor beta works ...", http://www.enonymous.com/howitworks.asp . |
| CO | "Political Artifacts and Personal Privacy: The Yenta Multi-Agent Distributed Matchmaking System", Leonard Newton Foner, April 30, 1999, © Massachusetts Institute of Technology, 1999. |
| CP | "Somewhat-by-topic linearization of Yenta", Leonard Foner, last modified February 11, 1997, http://www.media.mit.edu/people/foner/Yenta/linearization-by-topic.html . |
| CQ | Anonymous Web Page, "the enonymous profile ..", http://www.enonymous.com/profile.asp . |
| CR | Anonymous Web Page, "The enonymous zone ...", http://www.enonymous.com/zone.asp . |
| CS | Anonymous Web Page, "What is enonymous advisor?", http://www.enonymous.com/whatisit.asp . |
| CT | Anonymous Web Page, "Why be enonymous?", http://www.enonymous.com/whybeenon.asp |
| CU | "Yenta: A Multi-Agent, Referral-Based Matchmaking System", Leonard N. Foner, <u>The First International Conference on Autonomous Agents (Agents '97)</u> , Marina del Rey, CA, 1997. |
| CV | "Abuzz's Mission", Abuzz, http://www.abuzz.com |

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| List of Patents and Publications Statement (Use several sheets if necessary) Page 4 of 8 | | Applicant: Jonathan M. Goldberg, et al. | RECEIVED MAR 15 2001 Technology Center 2100 |
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| OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.) | | | |
| | CW | "Always Thinking Ahead", Natrificial Software Technologies, http://www.natrificial.com | |
| | CX | "askSam for Windows Getting Started Guide", (from Software Patent Institute Database of Software Technologies), first section, May 30, 1995. | |
| | CY | "Autonomy Agentware™ Technology White Paper" http://www.agentware.com | |
| | CZ | "Autonomy Knowledge Management Products", http://www.agentware.com | |
| | DA | "Autonomy Knowledge Server Data Sheet", http://www.agentware.com | |
| | DB | "Autonomy Knowledge Update Data Sheet", http://www.agentware.com | |
| | DC | "Autonomy Latest News" Autonomy Home Page, http://www.agentware.com | |
| | DD | "Autonomy Launches New Knowledge Management Products to Help Companies Leverage Employee Expertise, Late-breaking News and Existing Information Archives", Autonomy Press Release, February 17, 1998, http://www.agentware.com | |
| | DE | "Autonomy Ships Agentware Products for Knowledge Management", Autonomy Press Release, April 14, 1998, http://www.agentware.com | |
| | DF | "Beehive", Abuzz, http://www.abuzz.com/home/benefits.htm . | |
| | DG | "Beehive: Beta Program", Abuzz, http://www.abuzz.com/home/demos.htm | |
| | DH | "Beehive: White Papers", Abuzz, http://www.abuzz.com/home/white_papers.htm | |
| | DI | DATA SOURCES. PP K-213, 214, 217, 224, 229 and 230, 1 st Edition 1998. | |
| | DJ | "Digitize Your Mind", Natrificial Software Technologies, http://www.natrificial.com | |
| | DK | e-Genie by Open Sesame: Your Entertainment Genie, http://www.opensesame.com/ . | |
| | DL | http://www.email-software.com/pages/00108.htm , review of "Emailrobot for Exchange/SMTP". | |
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List of Patents and Publications Statement
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Page 5 of 8

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| | | |
|--|----|---|
| | DM | "EZ Reader: Embedded AI for Automatic Electronic Mail Interpretation and Routing", <i>Proceedings of the Thirteenth National Conference on Artificial Intelligence</i> and the Eighth Innovative Applications of Artificial Intelligence Conference, A. Rice, J. Hsu, A. Angotti and R. Piccolo, vol 2, pp 1507-1517, August 4-8, 1996 (INSPEC Abstract). |
| | DN | "Free Your Mind", Natrificial Software Technologies, http://www.natrificial.com |
| | DO | "Information Management for Knowledge Amplification in Virtual Enterprises", J. Numata et al., <i>IEMC Proceedings</i> , pp.281-285, August 18-20, 1996 (INSPEC Abstract). |
| | DP | "IS Puts Notes to the Test", <i>Datamation</i> , Mark Schlack, vol. 37, no. 15, pp 24-26, August 1, 1991 |
| | DQ | "It's Your Thought That Counts", Natrificial Software Technologies, http://www.natrificial.com . |
| | DR | "Knowing What We Know", Justin Hibbard, CPM net Information Week On Line, October 20, 1997. |
| | DS | "Knowledge is Power", Natrificial Software Technologies, http://www.natrificial.com . |
| | DT | "Knowledge Management Evaluation Scenario", Jeff Angus with Jeetu Patel and Joe Fenner, CPM net Information Week On Line, March 16, 1998. |
| | DU | "Knowledge Management Takes Industry's Center Stage", Elliot Maise, CMP net Computer Reseller News, February 2, 1998, Issue 774. |
| | DV | "Knowledge Management: Fuel For Innovation", Bob Evans, CPM net Information Week On Line, October 20, 1997. |
| | DW | "Knowledge Management: Great Concept...But What Is It?", Jeff Angus, Jeetu Patel and Jennifer Harty, CMP net, Information Week On Line, March 16, 1998. |
| | DX | "Knowledge Management's Net Gain", Kevin Jones, ZD net, inter@ctive.com Week, February 24, 1998. |
| | DY | PC Week "Learn Sesame gets more personal", http://www.zdnet.com/eweek/reviews/0316/16sesame.html |
| | DZ | Forbes Web Page, Forbes ASAP (04-06-98): Life Span vs. Life Spam, http://www.forbes.com/asap/98/0406/074.htm |
| | EA | "MAIL-MAN: A Knowledge-Based Mail Assistant for Managers", <i>Journal of Organizational Computing</i> , L.F. Motiwalla and J.F. Nunamaker, Jr., vol. 2, no. 2, pp 131-154, 1992, (abstract only). |
| | EB | "Natrificial Software Technologies Introduces The Brain" (press release), Natrificial Software Technologies, http://www.natrificial.com , January 26, 1998. |

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| Page 6 of 8 | | Filing Date: January 8, 2001 | |
| OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.) | | | |
| | EC | "Natrificial Software Technologies Unveils Internet Brain Publishing" (press release), Natrificial Software Technologies, http://www.natrificial.com , June 9, 1998. | |
| | ED | "Natrificial Software Technologies", Natrificial Software Technologies, http://www.natrificial.com . | |
| | EE | "Neural Agent Enable Personalized Surfing", R. Colin Johnson, CMP net TechWeb, http://www.techweb.com , February 4, 1998. | |
| | EF | "New eCommerce and Entertainment Web Site Demonstrates Leading Edge Personalization and Privacy Features" (press release), http://www.opensesame.com , January 20, 1998. | |
| | EG | NPTool Intro: Conclusion and Acknowledgments, http://www.lingsoft.fi/doc/nptool/intro/conclusion.html . | |
| | EH | NPTool Intro: Nptool in Outline, http://www.lingsoft.fi/doc/nptool/intro/outline.html . | |
| | EI | NPTool Intro: Overview, http://www.lingsoft.fi/doc/nptool/intro/overview.html . | |
| | EJ | NPTool Intro: Performance, http://www.lingsoft.fi/doc/nptool/intro/performance.html . | |
| | EK | NPTool Intro: Previous Work, http://www.lingsoft.fi/doc/nptool/intro/previous.html . | |
| | EL | NPTool Intro: Syntactic Description, http://www.lingsoft.fi/doc/nptool/intro/syntax.html . | |
| | EM | NPTool Intro: Use of a Noun Phrase Parser, http://www.lingsoft.fi/doc/nptool/intro/uses.html . | |
| | EN | "Open Sesame and Verity Open Doors to Personalized Software", KMWorld.com, http://www.kmworld.com , February 6, 1998. | |
| | EO | Open Sesame Published Papers, http://www.opensesame.com/co_02.html | |
| | EP | "Open Sesame site just works", Bill Burke, Business Today.com, http://www.opensesame.com , Today's Column, January 22, 1998 | |
| | EQ | Open Sesame, http://www.opensesame.com . | |
| | ER | Open Sesame: Agent Sourcebook, http://www.opensesame.com/co_03.html . | |
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OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

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|--|----|---|
| | ES | Open Sesame: Commitment to Privacy, http://www.opensesame.com/privacy.html . |
| | ET | Open Sesame: Press; Reviews, http://www.opensesame.com/pr_02.html . |
| | EU | Open Sesame: Products/Demos, http://www.opensesame.com/product_05.html . |
| | EV | Open Sesame: Products: Benefits, http://www.opensesame.com/prod_02.html . |
| | EW | Open Sesame: Products; Competitive Summary, http://www.opensesame.com/prod_03.html . |
| | EX | Open Sesame: Products; eGenie Live!, http://www.opensesame.com/prod_06.html . |
| | EY | Open Sesame: Products; Learn Sesame, http://www.opensesame.com/products.html . |
| | EZ | Open Sesame: Products; Product Datasheet, http://www.opensesame.com/prod_04.html . |
| | FA | Open Sesame: Products; Why Personalize?, http://www.opensesame.com/prod_01.html . |
| | FB | Open Sesame: The Company, http://www.opensesame.com/company.html . |
| | FC | Orbital Technologies: About Orbital Technologies, http://www.orbital-tech.com/about.html . |
| | FD | Orbital Technologies: Corporate Fact Sheet, January 1998, http://www.orbital-tech.com . |
| | FE | Orbital Technologies: Orbital Organik White Paper, July 1997, http://www.orbital-tech.com/ . |
| | FE | Orbital Technologies: Organik KnowledgeWare, http://www.orbital-tech.com/organikkw.html . |
| | FG | Orbital Technologies: Organik Persona Server, http://www.orbital-tech.com/organikps.html . |

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Page 8 of 8

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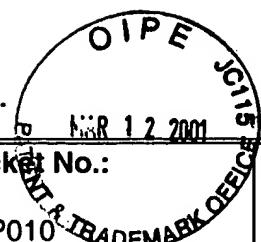
Filing Date: January 8, 2001

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| | | |
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| | FH | Orbital Technologies: Our Focus, http://www.orbital-tech.com/ . |
| | FI | Orbital Technologies: Orbital Products, http://www.orbital-tech.com/products.html . |
| | FJ | http://www.email-software.com/pages/00033.htm , review of "Signup V2.0" |
| | FK | "The Brain Press Reviews", Natrificial Software Technologies, http://www.natrificial.com . |
| | FL | WiNMAG.COM Web Page, The Brain: Much More The Way You Think, http://www.winmag.com/library/1998/0501/rev0078.htm |
| | FM | The Technology Behind Autonomy Agentware, Autonomy Technology Page, http://www.agentware.com . |
| | FN | "The Web at the Speed of Thought", Natrificial Software Technologies, http://www.natrificial.com . |
| | FO | "Topic Real-Time", Hp-UX Documentation Disc 50726-10186 (from Software Patent Institute Database of Software Technologies), February 1, 1995. |
| | FP | "What's New", Natrificial Software Technologies, http://www.natrificial.com , 1998. |
| | FQ | |
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